

09/20/99

**UTILITY PATENT APPLICATION AND FEE TRANSMITTAL**

(On [REDACTED] for new nonprovisional applications under 37 CFR [REDACTED] (b))  
(PTO/SB/05 and PTO/SB/06)

**Case Docket No. CRD 99304**

Date: September 20, 1999

**ASSISTANT COMMISSIONER FOR PATENTS**

Box Patent Application  
Washington, D.C. 20231

**Dear Sir/Madam:**

Transmitted herewith for filing is the patent application of:

**Inventor(s):** Michael E. Ring

**For: A METHOD OF AND AN APPARATUS FOR ENHANCING THE BRAKING EFFICIENCY OF A RAILWAY FREIGHT TRAIN CONSIST**

X Specification (17 Pages), Claims (7 pages), Abstract (1 page) and (7 sheet(s) of Informal Drawings)

## X Declaration and Power of Attorney

An Assignment of the Invention to \_\_\_\_\_

## An Information Disclosure Statement

A Certified Copy of \_\_\_\_\_ application(s) No.(s) \_\_\_\_\_



A Verified Statement to establish Small Entity status under 37 CFR 1.9 and 37 CFR 1.27

## A Preliminary Amendment

**X. A Filing Fee, calculated as shown below:**

|                                    | Column 1  | Column 2  |
|------------------------------------|-----------|-----------|
| FOR:                               | NO. FILED | NO. EXTRA |
| BASIC FEE                          |           |           |
| TOTAL CLAIMS                       | 20 - 20 = | *0        |
| INDEP CLAIMS                       | 2 - 3 =   | *0        |
| MULTIPLE DEPENDENT CLAIM PRESENTED |           |           |

\*If the difference in Col. 1 is less than zero, enter "0" in Col. 2

| SMALL ENTITY  |        |    | LARGE ENTITY  |        |
|---|--------|----|---|--------|
| RATE  | FEE    |    | RATE  | FEE    |
|  | \$ 380 | or |  | \$ 760 |
| x 9=  | \$     | or | x18=  | \$     |
| x39=  | \$     | or | x78=  | \$     |
| +130=   | \$     | or | +260=   | \$     |
| TOTAL   | \$     | or |   | \$ 760 |

Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the Filing Fee and \_\_\_\_\_ Assignment Recordation.

A duplicate of this sheet is attached.

X Check No. 3113 in the amount of \$760.00 to cover the Filing Fee and check no. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ to cover the Assignment Recordation is enclosed.

\_\_\_\_\_ The Commissioner is hereby authorized to charge payment for the following fees associated with this communication or credit any overpayment to Deposit Account No. \_\_\_\_\_. A duplicate of this sheet is attached.

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

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Respectfully submitted,  
**JAMES RAY & ASSOCIATES**

Michele K. Yoon

By: Michele K. Yoder  
Agent For Applicant(s)  
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[illegible]